

STATE OFFICE FOR THE AGING

MISSION

The New York State Office for the Aging (SOFA) is responsible for promoting, coordinating and administering State, Federal and local programs and services for 3.7 million New Yorkers aged 60 or older. The Office provides leadership and direction to 59 Area Agencies on Aging, as well as to numerous other local programs and providers that comprise the network of services to the aged.

ORGANIZATION AND STAFFING

The State Office for the Aging is headed by a Director, appointed by the Governor and subject to Senate confirmation, and is composed of four divisions: Executive, Finance and Administration, Policy, Management and Public Information and Community Service. Approximately 18 percent of Office operations are funded by the General Fund and 82 percent are financed by Federal grants and other revenue sources.

BUDGET HIGHLIGHTS

The Executive Budget recommends **\$238.2 million** All Funds (\$111.9 million General Fund, \$126.3 million Other Funds) for the State Office for the Aging. This is an overall decrease of **\$5.2 million** All Funds (-2.2 percent) (\$1.9 million General Fund decrease, \$3.3 million Special Revenue Funds decrease) from the 2010-11 budget. This change reflects the elimination of certain lower priority programs, administrative reductions, and the end of Federal funds associated with the American Reinvestment and Recovery Act of 2009. The Executive Budget reduces each agency's General Fund State Operations budget by 10 percent. These savings are intended to be achieved through administrative efficiencies in non-personal service and negotiated workforce savings that minimize layoffs to the extent possible.

Major Executive Budget actions include the elimination of discrete funding for the Community Empowerment Initiative, Congregate Services Initiative, EAC/Nassau Respite Program, Elderly Abuse Education and Outreach Program, Enriched Social Adult Day Centers Program, Foster Grandparent Program, Long Term Care Senior Respite, NY Foundation Home Sharing, Patients' Rights Hotline and Advocacy, Regional Caregivers Centers for Excellence, and the Retired and Senior Volunteer Program. However, these purposes would be eligible for funding through a new local competitive performance grant program established in the Executive Budget, which is intended to support priority initiatives that address emerging or ongoing matters affecting older adults and pursuing innovations in assisting older adults.

PROGRAM HIGHLIGHTS

The full array of programs offered through the Office for the Aging is aimed at keeping seniors independent as long as possible with the assistance of family and other informal caregivers, as well as through the limited use of formal support services. These community-based services help keep people healthy, in their homes and out of hospitals and nursing homes.

AGING

COMMUNITY BASED LONG TERM CARE SERVICES

The 2011-12 Executive Budget continues funding for the Office's community-based service programs, including Community Services for the Elderly (CSE), Naturally Occurring Retirement Communities (NORCs), Neighborhood NORCs, Respite services and operational funding for transportation providers. The Executive Budget also preserves core funding for the Expanded In-home Services for the Elderly Program (EISEP). EISEP provides non-medical in-home services, case management, respite and ancillary services to the frail elderly, most of whom are low-income but not eligible for Medicaid. Recipients are required to cost-share, based on their annual income level, for received EISEP program services. EISEP is expected to serve nearly 50,000 persons in 2011-12.

NUTRITION SERVICES

Through a combination of State tax dollars and Federal grants, the State annually provides over 25 million congregate and home-delivered meals, as well as other nutritional services primarily to non-institutionalized, frail, older adults. These older adults are at risk of malnutrition because they are no longer able to obtain an adequate diet without assistance. The Office receives \$41 million from Federal grants in support of congregate and home-delivered meals and another \$17 million to support the purchase of food. The 2011-12 Executive Budget continues funding for the Supplemental Nutrition Assistance Program (SNAP), the State counterpoint to the Federal programs, at \$21.4 million.

ASSISTANCE TO CAREGIVERS

Family members and other informal caregivers provide an estimated 80 percent of long-term care for older New Yorkers; sustaining this cost-effective, individualized support system for seniors is a key objective of the aging service system. The State Office for the Aging's 17 Caregiver Resource Centers assist caregivers through training programs, support groups, counseling and linkages to other community services. The \$13.5 million Federal Caregivers Program provides respite, training and counseling for caregivers, as well as other services that support an elderly person's ability to maximize their independence.

VOLUNTEER ADVOCACY

The Long-Term Care Ombudsman Program (LTCOP) supports the statewide advocacy of more than 1,000 trained volunteers on behalf of the approximately 162,000 residents of New York State's nursing homes, adult care facilities and assisted living residences. Under the LTCOP, volunteers, working in collaboration with community agencies, receive, investigate and resolve a wide range of concerns and complaints regarding conditions and treatment in long-term care facilities, with the goal of ensuring the quality of life for residents.

AGING

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2010-11	Appropriations Recommended 2011-12	Change	Reappropriations Recommended 2011-12
State Operations	14,350,400	13,810,600	(539,800)	15,537,000
Aid To Localities	229,128,000	224,419,000	(4,709,000)	138,522,000
Capital Projects	0	0	0	0
Total	<u>243,478,400</u>	<u>238,229,600</u>	<u>(5,248,800)</u>	<u>154,059,000</u>

ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Program	Full-Time Equivalent Positions (FTE)		
	2010-11 Estimated FTEs 03/31/11	2011-12 Estimated FTEs 03/31/12	FTE Change
	Administration and Grants Management		
General Fund	23	23	0
Special Revenue Funds - Federal	97	97	0
Special Revenue Funds - Other	1	1	0
Total	<u>121</u>	<u>121</u>	<u>0</u>

The above table does not reflect layoffs that may be necessary in the absence of negotiated workforce savings.

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2010-11	Recommended 2011-12	Change
General Fund	2,748,400	2,473,600	(274,800)
Special Revenue Funds - Federal	11,252,000	10,987,000	(265,000)
Special Revenue Funds - Other	250,000	250,000	0
Enterprise Funds	100,000	100,000	0
Total	<u>14,350,400</u>	<u>13,810,600</u>	<u>(539,800)</u>

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2010-11	Recommended 2011-12	Change
Administration and Grants Management			
General Fund	2,748,400	2,473,600	(274,800)
Special Revenue Funds - Federal	11,252,000	10,987,000	(265,000)
Special Revenue Funds - Other	250,000	250,000	0
Enterprise Funds	100,000	100,000	0
Total	<u>14,350,400</u>	<u>13,810,600</u>	<u>(539,800)</u>

AGING

**STATE OPERATIONS - GENERAL FUND
SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES
2011-12 RECOMMENDED
(dollars)**

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Administration and Grants Management	2,120,000	(235,500)	2,113,400	(234,800)
Total	<u>2,120,000</u>	<u>(235,500)</u>	<u>2,113,400</u>	<u>(234,800)</u>

Program	Holiday/Overtime Pay (Annual Salaried)	
	Amount	Change
Administration and Grants Management	6,600	(700)
Total	<u>6,600</u>	<u>(700)</u>

**STATE OPERATIONS - GENERAL FUND
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED
APPROPRIATIONS AND CHANGES
2011-12 RECOMMENDED
(dollars)**

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Administration and Grants Management	353,600	(39,300)	43,500	(4,800)
Total	<u>353,600</u>	<u>(39,300)</u>	<u>43,500</u>	<u>(4,800)</u>

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Administration and Grants Management	82,900	(9,200)	205,100	(22,800)
Total	<u>82,900</u>	<u>(9,200)</u>	<u>205,100</u>	<u>(22,800)</u>

Program	Equipment	
	Amount	Change
Administration and Grants Management	22,100	(2,500)
Total	<u>22,100</u>	<u>(2,500)</u>

**STATE OPERATIONS - OTHER THAN GENERAL FUND
SUMMARY OF APPROPRIATIONS AND CHANGES
2011-12 RECOMMENDED
(dollars)**

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Administration and Grants Management	11,337,000	(265,000)	8,497,000	160,000
Total	<u>11,337,000</u>	<u>(265,000)</u>	<u>8,497,000</u>	<u>160,000</u>

Program	Nonpersonal Service		Maintenance Undistributed	
	Amount	Change	Amount	Change
Administration and Grants Management	2,840,000	190,000	0	(615,000)
Total	<u>2,840,000</u>	<u>190,000</u>	<u>0</u>	<u>(615,000)</u>

AGING

**AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS
(dollars)**

<u>Fund Type</u>	<u>Available 2010-11</u>	<u>Recommended 2011-12</u>	<u>Change</u>
General Fund	111,163,000	109,454,000	(1,709,000)
Special Revenue Funds - Federal	116,985,000	113,985,000	(3,000,000)
Special Revenue Funds - Other	980,000	980,000	0
Total	<u>229,128,000</u>	<u>224,419,000</u>	<u>(4,709,000)</u>
Adjustments:			
Recommended Deficiency			
Aging, Office for the			
Special Revenue Funds - Federal	<u>(3,000,000)</u>		
Appropriated 2010-11	<u>226,128,000</u>		

**AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)**

<u>Program</u>	<u>Available 2010-11</u>	<u>Recommended 2011-12</u>	<u>Change</u>
Community Services			
General Fund	111,163,000	109,454,000	(1,709,000)
Special Revenue Funds - Federal	116,985,000	113,985,000	(3,000,000)
Special Revenue Funds - Other	980,000	980,000	0
Total	<u>229,128,000</u>	<u>224,419,000</u>	<u>(4,709,000)</u>